



## Ecosystem effects of invertebrate fisheries

Tyler D Eddy<sup>1</sup>, Heike K Lotze<sup>1</sup>, Elizabeth A Fulton<sup>2,3</sup>, Marta Coll<sup>4,5</sup>, Cameron H Ainsworth<sup>6</sup>, Júlio Neves de Araújo<sup>7</sup>, Catherine M Bulman<sup>2</sup>, Alida Bundy<sup>8</sup>, Villy Christensen<sup>9</sup>, John C Field<sup>10</sup>, Neil A Gribble<sup>11</sup>, Mejs Hasan<sup>12,13</sup>, Steve Mackinson<sup>14</sup> & Howard Townsend<sup>12</sup>

<sup>1</sup>Department of Biology, Dalhousie University, Halifax, NS, Canada, B3H 4J1; <sup>2</sup>CSIRO Oceans & Atmosphere, Hobart, TAS 7007, Australia; <sup>3</sup>Centre for Marine Socioecology, University of Tasmania, Hobart, TAS 7004, Australia; <sup>4</sup>Institut de Recherché pour le Développement (IRD), 34203 Sète, France; <sup>5</sup>Institute of Marine Science (ICM-CSIC) & Ecopath International Initiative Research Association, Barcelona 08003, Spain; <sup>6</sup>College of Marine Science, University of South Florida, Saint Petersburg, FL 33701, USA; <sup>7</sup>Departamento de Ecologia e Recursos Naturais, Universidade Federal do Espírito Santo, Vitória, Espírito Santo 29075-910, Brazil; <sup>8</sup>Ocean Ecosystem Science Division, Department of Fisheries and Oceans Canada (DFO), Bedford Institute of Oceanography, Dartmouth, NS, Canada, B2Y 4A2; <sup>9</sup>Institute for the Oceans and Fisheries, University of British Columbia, Vancouver, BC, Canada, V6T 1Z4; <sup>10</sup>Fisheries Ecology Division, Southwest Fisheries Science Center, National Marine Fisheries Service, National Oceanic and Atmospheric Administration (NOAA), Santa Cruz, 110 Shaffer Road, Santa Cruz, CA, 95060, USA; <sup>11</sup>Division of Tropical Environments & Societies, College of Marine & Environmental Sciences, James Cook University, Townsville, QLD 4870, Australia; <sup>12</sup>Chesapeake Bay Office, Cooperative Oxford Laboratory, National Oceanic and Atmospheric Administration (NOAA)/National Marine Fisheries Service, Oxford, MD 21654, USA; <sup>13</sup>Department of Geological Sciences, University of North Carolina, Chapel Hill, NC 27514, USA; <sup>14</sup>Centre for Environment Fisheries and Aquaculture Science (CEFAS), Lowestoft, Suffolk NR33 0HT, UK

### Abstract

Since the 1950s, invertebrate fisheries catches have rapidly expanded globally to more than 10 million tonnes annually, with twice as many target species, and are now significant contributors to global seafood provision, export, trade and local livelihoods. Invertebrates play important and diverse functional roles in marine ecosystems, yet the ecosystem effects of their exploitation are poorly understood. Using 12 ecosystem models distributed worldwide, we analysed the trade-offs of various invertebrate fisheries and their ecosystem effects as well as ecological indicators. Although less recognized for their contributions to marine food webs, our results show that the magnitude of trophic impacts of invertebrates on other species of commercial and conservation interest is comparable with those of forage fish. Generally, cephalopods showed the strongest ecosystem effects and were characterized by a strong top-down predatory role. Lobster, and to a lesser extent, crabs, shrimp and prawns, also showed strong ecosystem effects, but at lower trophic levels. Benthic invertebrates, including epifauna and infauna, also showed considerable ecosystem effects, but with strong bottom-up characteristics. In contrast, urchins, bivalves, and gastropods showed generally lower ecosystem effects in our simulations. Invertebrates also strongly contributed to benthic–pelagic coupling, with exploitation of benthic invertebrates impacting pelagic fishes and vice versa. Finally, on average, invertebrates produced maximum sustainable yield at lower levels of depletion (~45%) than forage fish (~65%), highlighting the need for management targets that avoid negative consequences for target species and marine ecosystems as a whole.

### Correspondence:

Tyler D Eddy, Department of Biology, Dalhousie University, Halifax, Nova Scotia B3H 4J1, Canada  
Tel.: +1 902 494 3406  
Fax: +1 902 494 3736  
E-mail: tyler.eddy@dal.ca

[Correction added on 17th July, after first online publication: Figure 4 caption changed]

Received 18 Dec 2015  
Accepted 4 Apr 2016

**Keywords** Ecological indicators, Ecopath with Ecosim, ecosystem-based fisheries management, functional roles, invertebrate exploitation, trophic impacts

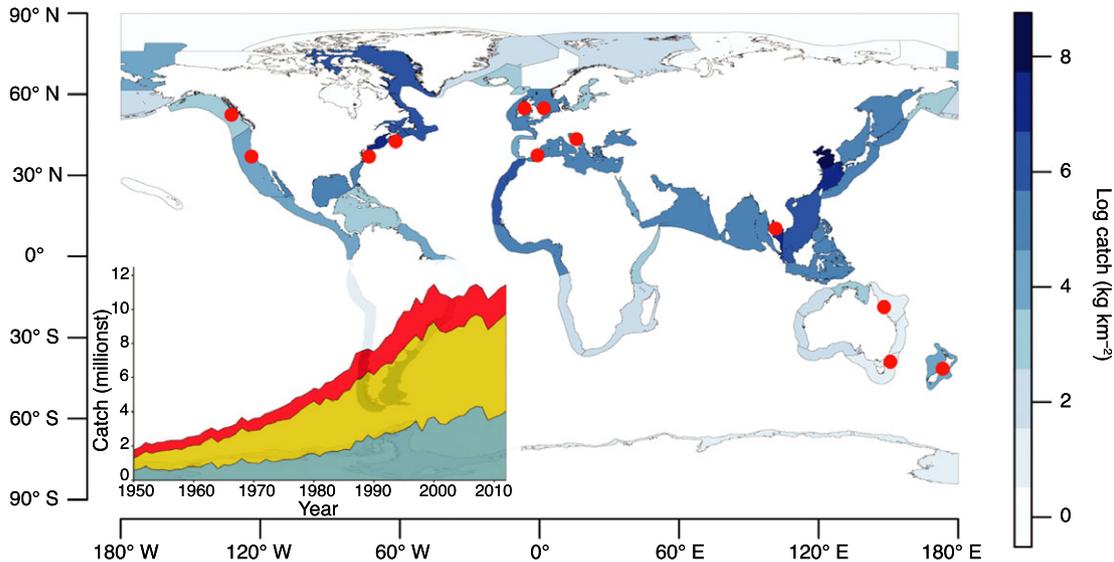
<b>Introduction</b>	<b>41</b>
<b>Methods</b>	<b>42</b>
Ecosystem model selection	42
Modelling approach	43
Ecosystem effects	43
Ecological indicators	44
Ecosystem characteristics and global catch data	44
Trade-offs between catch and ecosystem effects	45
<b>Results</b>	<b>45</b>
Ecosystem effects	45
Impacted groups	45
Trade-offs between catch and ecosystem effects	45
Ecological indicators	47
Ecosystem characteristics	48
<b>Discussion</b>	<b>48</b>
<b>Acknowledgements</b>	<b>52</b>
<b>References</b>	<b>52</b>
<b>Supporting Information</b>	<b>53</b>

## Introduction

Increased depletion, protection or restrictive management of marine finfish over the past decades have led to large expansions in fisheries for invertebrates and low trophic level (LTL) fish (Worm *et al.* 2009; Hunsicker *et al.* 2010; Anderson *et al.* 2011a; Smith *et al.* 2011; Costello *et al.* 2012; Pikitch *et al.* 2014). Many of these species, however, are essential food for higher trophic levels, including species of commercial and conservation interest (e.g. fish, mammals and birds), and support overall ecosystem structure and functioning. Thus, these fisheries can have strong ecosystem consequences as recently demonstrated for forage fish and krill in pelagic ecosystems (Smith *et al.* 2011; Pikitch *et al.* 2014). Due to their wide taxonomic and functional diversity, invertebrates play varied roles in both pelagic and benthic ecosystems, such as predator, prey, herbivore, filter feeder, scavenger and detritivore (Hunsicker *et al.* 2010; Anderson *et al.* 2011a), and some are considered keystone species (Eddy *et al.* 2014). Understanding the ecological roles of these species and the ecosystem effects of their exploitation is critical if we want to move

towards a more sustainable and ecosystem-based fisheries management (EBFM) that aims to maintain or restore the structure and functioning of marine ecosystems (Pikitch *et al.* 2004).

Global invertebrate catches have increased 6-fold to >10 million tonnes annually (Fig. 1), and the number of target species has doubled since the 1950s (Berkes *et al.* 2006; Hunsicker *et al.* 2010; Anderson *et al.* 2011a,b). This includes an expansion of existing, and the emergence of new fisheries for molluscs (mussels, oysters, gastropods), crustaceans (lobster, shrimp, crabs, krill), cephalopods (squids, octopus) and echinoderms (sea urchins, sea cucumbers). Today, marine invertebrates provide substantial amounts of seafood and animal protein, important employment and income opportunities, and high value in international markets and trade, and accounted for 14% of global fisheries catches by weight in 2012 (Berkes *et al.* 2006; Anderson *et al.* 2011b; FAO 2011; Smith *et al.* 2011). Globally, crustaceans have been the most highly valued fished group since the 1970s, valued at ~3000 USD per tonne in 2005 (Swartz *et al.* 2013). In Canada and New Zealand, lobster is now the most valuable export (DFO 2013; MPI 2014, respectively),



**Figure 1** Spatial distribution of invertebrate fisheries catches by large marine ecosystem (LME) and locations of the twelve ecosystem models used (from left to right): Northern British Columbia, California Current, Chesapeake Bay, western Scotian Shelf, Irish Sea, Catalan Sea, North Sea, Adriatic Sea, Gulf of Thailand, Great Barrier Reef, south-east Australia, and Cook Strait. Data from the Sea around Us Project for 2006–2010 (catch units are  $\text{kg km}^{-2}$ ). Insert shows temporal increase in global invertebrate catches in total and by group (red = bivalves and gastropods; yellow = crustaceans; blue = cephalopods; echinoderm catches are too small to show on this scale). Data from the United Nations Food and Agriculture Organization for 1950–2012.

whereas sea cucumber fisheries form the main source of income for many coastal communities in the Indo-Pacific (Anderson *et al.* 2011b). Despite their economic and societal importance, many invertebrates lack formal stock assessments or management plans, and the ecosystem consequences of their exploitation are largely unknown (Anderson *et al.* 2008, 2011a,b).

Ecosystem models have been applied to study the ecosystem effects of fisheries (Worm *et al.* 2009; Fulton *et al.* 2011; Smith *et al.* 2011; Collie *et al.* 2016). Unfortunately, the paucity of information about invertebrate populations and their fisheries is also reflected in their often poor representation within ecosystem models, where invertebrates are often lumped into coarsely resolved compartments. Here, we employ published ecosystem models with sufficient representation of invertebrate functional groups and their associated fisheries to analyse the ecosystem effects of their exploitation. In total, we simulate fisheries of 73 invertebrate groups, encompassing cephalopods, lobsters, crabs, shrimps/prawns, echinoderms, gastropods, bivalves and benthic invertebrates; epifauna, and infauna, from no fishing to local extinction, and then determine ecosystem effects as the resulting biomass changes in other trophic groups.

## Methods

### Ecosystem model selection

We developed a set of selection criteria to apply to published Ecopath with Ecosim (EwE) models (Christensen and Walters 2004) to ensure that our questions about the ecosystem impacts of invertebrate fisheries could be tested. The first criterion was that the model had to be sufficiently resolved into at least three separate invertebrate trophic groups to perform simulations of invertebrate fisheries and not just include one generic, catch-all invertebrate group; second, that it had active fisheries for at least three invertebrate trophic groups represented in the model; and third, that it was calibrated to observational survey, catch, fishing mortality and/or fishing effort data (Table S1). From the EwE models listed at [www.ecopath.org/models](http://www.ecopath.org/models) and additional published EwE models not listed on the website, there were only 12 models that met our selection criteria (Tables S1 and S2), but were well distributed around the world (Fig. 1). We also searched for replicate models in these 12 regions to represent alternative model structures developed in Atlantis and OSMOSE; however, at the time of performing the simulations, there were insufficient

alternative models with appropriate resolution of invertebrates required to compare. Further details on data used to parameterize invertebrate groups and model calibration in each EwE model can be found in the Supporting Information.

### Modelling approach

We used Ecosim (Walters *et al.* 1997; Christensen and Walters 2004) to run simulations of varying fishery exploitation rates ( $F$ ) for each individual target invertebrate group, while  $F$  values for all other exploited trophic groups were kept constant at their most recent levels to produce levels of target group depletion from 0% to 100% (Eddy *et al.* 2015). Thereby, we followed a similar modelling approach as used by Smith *et al.* (2011) and Worm *et al.* (2009) for the ecosystem effects of forage fish and overall fisheries, respectively. The level of depletion (LOD) for exploited groups was calculated as the proportion of biomass for the target invertebrate group during exploitation simulations compared to the biomass of that group during a simulation where there was no exploitation of the target group (i.e.  $1 - (B_i/B_0)$ ), calculated for the final year of simulations when groups had reached equilibrium. Models were run from their historical starting point until the most recent date using the historical time series, and then, fishing mortality ( $F$ ) for the target invertebrate group was forced at a constant level. Simulation runs of 100 years were used to allow the model to reach equilibrium, and it was obvious that models had reached equilibrium.

### Ecosystem effects

We determined the impacts of exploitation of each invertebrate group within each of the 12 ecosystems, totalling 73 invertebrate groups (Table S2). We calculated the proportion of all other trophic groups within the same ecosystem that were impacted by biomass changes of >40% across different levels of target invertebrate group depletion (LOD = 0%, 25%, 60%, 80%, and 100%).

To understand the general ecosystem impacts of different invertebrate groups among models, we then categorized each of the 73 invertebrate groups into one of ten functional groups based on their life history and feeding strategies (Table S2): cephalopods, lobsters, crabs, shrimps/prawns, echinoderms, gastropods, bivalves, benthic invertebrates,

epifauna, and infauna. Large jellies from the California Current and euphausiids from south-eastern Australia did not fall into one of these ten groups and are not considered in the group analyses. Some of the invertebrate trophic groups from the models contained a combination of more than one of these 10 functional groups (e.g. scallops and gastropods in the Adriatic Sea model, Table S2). These groups were designated based on the majority of biomass contribution within the groups.

For each of the 10 aggregate invertebrate groups, we then calculated the average ( $\pm$ SE) ecosystem impact at different levels of depletion across all 12 models. Similarly, to understand the ecosystem effects of invertebrate exploitation in each ecosystem model, we averaged the ecosystem impacts of all invertebrate groups at different levels of depletion within each model area.

To get a better sense of the distribution of the magnitude of positive and negative biomass changes, we calculated the frequency distribution of biomass changes in all trophic groups as a response to the exploitation of all 73 invertebrate groups at 25% and 60% depletion. We chose these levels of depletion because they are commonly used fisheries reference points, and they follow the methods from the study on forage fish (Smith *et al.* 2011) to allow for comparability with invertebrates. To specifically investigate the impacts of invertebrate exploitation on commercial species and species of conservation concern (birds and mammals), we similarly calculated the frequency distribution of their biomass changes. We summarized these patterns by comparing the frequency of conservation (birds and mammals), commercial and all groups responding with an increase or decrease of 40% biomass. To evaluate whether groups of conservation concern were already depleted at the time when our simulations began, we compared the estimated unfished biomass of bird and mammal groups from the last year of our invertebrate exploitation simulations, where there was no exploitation of bird and mammal groups, to the historical bird and mammal biomass estimates from the beginning of the historical time series in each model. In most cases, there were no major differences observed when using the historical biomass compared to the estimated unfished biomass, with the exception of fin whales from the Catalan Sea model, which were estimated to be only 13% of the historical 1978 biomass. Sea otters in the northern BC model were also only

16% of the estimated historical biomass in 1950. Additionally, in the northern BC model, many populations of large whales had been drastically reduced in numbers by 1950 (Surma and Pitcher 2015), which were not captured in the model, suggesting that whales may be more affected by invertebrate fisheries than represented in our simulations.

We were interested in the degree of coupling between benthic and pelagic compartments of the ecosystems. Therefore, individual trophic groups were assigned to either benthic or pelagic compartments of the ecosystem (Table S2) based on their feeding ecology from diet matrices, and we calculated the change in the aggregate biomass of the benthic and pelagic compartments. When trophic groups preyed on both benthic and pelagic compartments, they were assigned to a compartment based on the majority (>50%) of their diet. We then evaluated the impact of exploitation of benthic invertebrate groups on the biomass of pelagic fish groups and vice versa. To do so, we calculated the proportion of benthic and pelagic fish groups that were affected by a >40% biomass change.

### Ecological indicators

To explain the differences in ecosystem effects for invertebrate groups, we calculated a variety of ecosystem responses to interpret the ecosystem effects of invertebrate fisheries, using EwE output for biomass, catches, trophic levels (TL), as well as other ecological indicators (e.g. connectance, keystone-ness, omnivory; Power *et al.* 1996; Libralato *et al.* 2006; Eddy *et al.* 2015; Table S2). The connectance of an exploited trophic group (the proportion of feeding linkages for the exploited group compared to the total number of feeding linkages in the entire ecosystem) has been shown to be useful for explaining the ecosystem effects of forage fish exploitation (Smith *et al.* 2011). The omnivory index (OI) indicates the breadth of trophic levels that a predator preys upon. Relative total impact indicates overall change in the ecosystem and is used as a basis for keystone-ness index 1. Keystone-ness indices (keystone-ness index #1: Libralato *et al.* 2006; keystone-ness index #2: Power *et al.* 1996) evaluate which groups have large ecosystem effects relative to their biomass (Table S2). We also calculated the relative abundance of the exploited trophic group (the

proportion of the exploited group biomass to the total ecosystem biomass). Additionally, we calculated the supportive role to fisheries index (SURF), which quantifies the role of different trophic groups as prey to higher trophic levels (Plagányi and Essington 2014). These ecological indicators have been shown to be useful for understanding the ecosystem effects of fisheries exploitation (Smith *et al.* 2011; Eddy *et al.* 2014, 2015). We also plotted these indicators against the rank of the largest ecosystem impact for the exploitation of each individual invertebrate trophic group for an individual ecosystem, with the following ranks following Smith *et al.* (2011): rank of 1 = no change greater than 20% in any other trophic group; 2 = no change greater than 60% in any other trophic group; 3 = change greater than 60% in at least one other trophic group.

### Ecosystem characteristics and global catch data

To explore whether differences in the average ecosystem impacts across the 12 ecosystem models could be explained by some ecosystem characteristics in the wider large marine ecosystem (LME), we tested a range of ecosystem properties accessed from the Sea Around US Project (SAUP) website ([www.seaaroundus.org](http://www.seaaroundus.org)) for each corresponding LME, including net primary production (NPP), invertebrate catch per unit area, species richness, the number of fisheries, years fished, mean total catch per year fished, sea surface temperature (SST), and LME area. To see whether global catch data explained variation in observed ecosystem impacts, we investigated average invertebrate catches by LME from 2006 to 2010 from the SAUP for the corresponding LMEs (Fig. 1). To determine the temporal change in global invertebrate catch, we obtained invertebrate catches by functional group from 1950 to 2012 from FAO FishStatJ software, using filters for the appropriate functional groups (Fig. 1). We used linear regression analysis to evaluate links between LME properties and average ecosystem impacts of invertebrate exploitation at 60% depletion.

Next, we evaluated whether ecosystem model characteristics explained variation in the observed ecosystem impacts. To do so, we compared the ecosystem model indicators, model area, the number of trophic groups (Table S1), total ecosystem biomass, ecosystem connectance (proportion of feeding links compared to all possible links), and

predatory biomass ( $TL > 4$ ) with the average ecosystem impact of invertebrate exploitation at 60% depletion for each ecosystem using linear regression analysis.

#### Trade-offs between catch and ecosystem effects

To explore the trade-off between invertebrate catch and ecosystem effects, we calculated MSY from catch data, defined as the equilibrium catch level of the simulation producing the greatest catches (following Worm *et al.* 2009; Smith *et al.* 2011). We then compared the average ecosystem effects of each of the 73 invertebrate groups for each level of depletion (LOD = 0%, 25%, 60%, 80%, 100%) to the maximum sustainable yield (MSY) for each invertebrate fishery. To do so, we created an aggregate plot of MSY and ecosystem impact by averaging the simulation results for each of the 73 trophic groups at varying levels of depletion and calculated 95% confidence intervals.

## Results

### Ecosystem effects

We found considerable differences in the magnitude of ecosystem effects across exploited invertebrate groups and ecosystem models (Figs 2 and 3). On average, exploitation of cephalopods (mostly squids) had the greatest impacts across the 12 studied ecosystems, with >20% of other groups affected by a 40% biomass change at medium-to-high exploitation levels (Fig. 3a). Average impacts of lobsters, crabs and shrimp/prawns were lower, yet they had strong impacts in some ecosystems (Fig. 3a, grey dots). Composite groups of benthic invertebrates, epifauna and infauna also had considerable impacts on 10–20% of other groups within the ecosystem (Fig. 3a). In contrast, exploitation of urchins, bivalves and gastropods generally had lower ecosystem effects in our simulations (Fig. 3a). Individually, targeted exploitation of cephalopods and shrimps in the Gulf of Thailand, cephalopods in the Catalan Sea and euphausiids in south-eastern Australia showed the greatest effects (Fig. 2).

Comparing all ecosystem models, south-eastern (SE) Australia showed the greatest impacts at 60% and 80% depletion, and the Gulf of Thailand at 100% depletion, while the North Sea showed the lowest impacts across all exploitation levels (Figs 2 and 3b). However, the variance of our results is

on a similar scale across models, indicating that average results are not driven by a few, highly sensitive models (Fig. 3b).

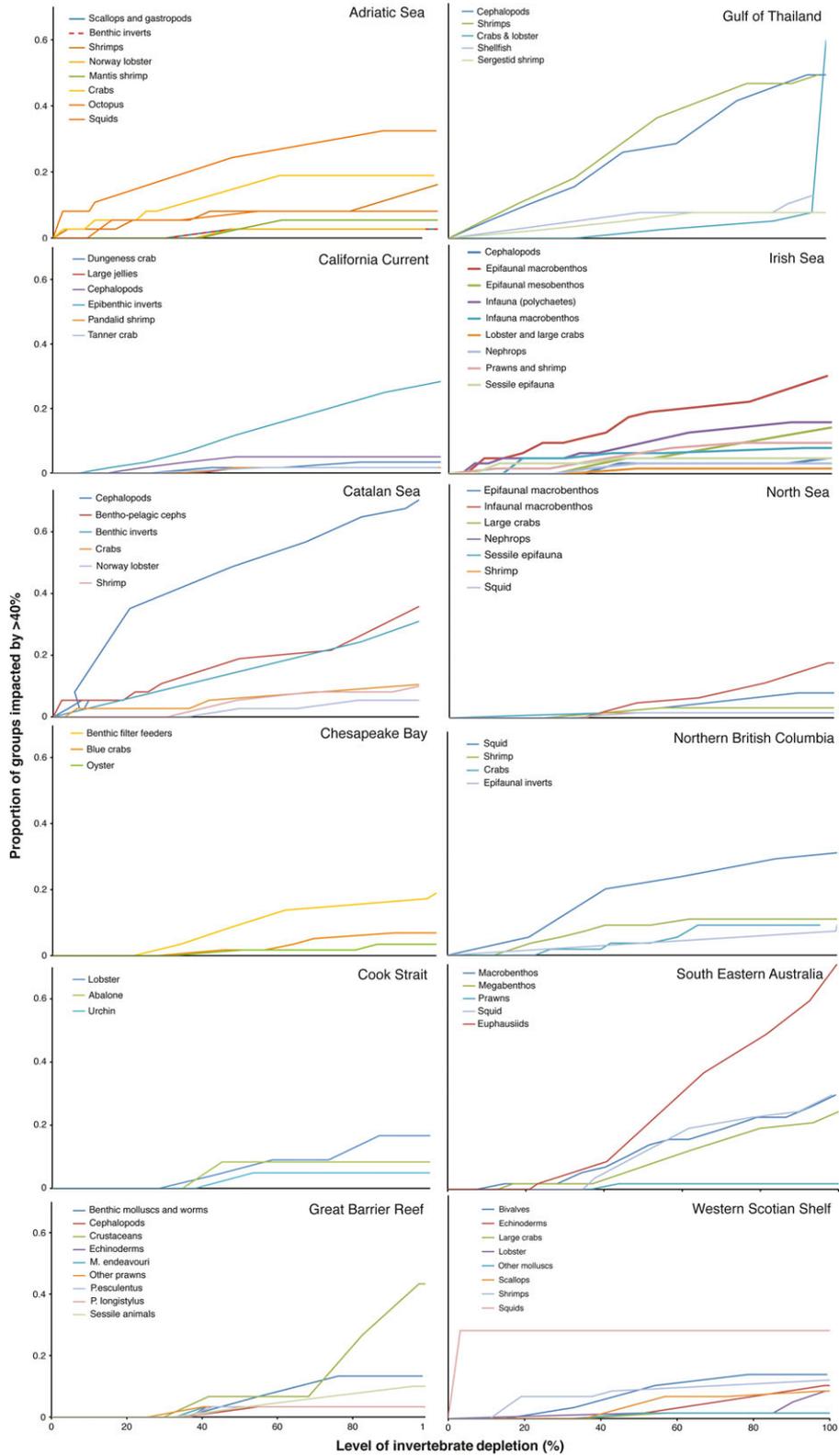
### Impacted groups

We found 85% of other trophic groups were affected by <20% biomass change at medium invertebrate exploitation (60% depletion), while 5% of groups showed a >60% biomass change (Fig. 3c, S1). About half the groups showed a decline in biomass, while others increased (Fig. 3c, d, S1), including birds, mammals and commercial groups, constituting substantial changes in populations and overall ecosystem structure. The most severe decline was observed in the Adriatic Sea model, where 25% depletion of benthic invertebrates was predicted to cause a 99% decline in marine turtle biomass, and local extinction at higher exploitation rates (Table S3). Higher (but still plausible) exploitation rates were required to observe impacts on other groups of conservation concern. For example, dolphins in the Catalan Sea were predicted to decline by 61% biomass at 60% depletion of benthic cephalopods, while 60% depletion of squid in Northern British Columbia resulted in a 74% decline in seal and sea lion biomass, and diving ducks declined by 81% biomass in Chesapeake Bay with 60% depletion of benthic filter feeders (Table S3).

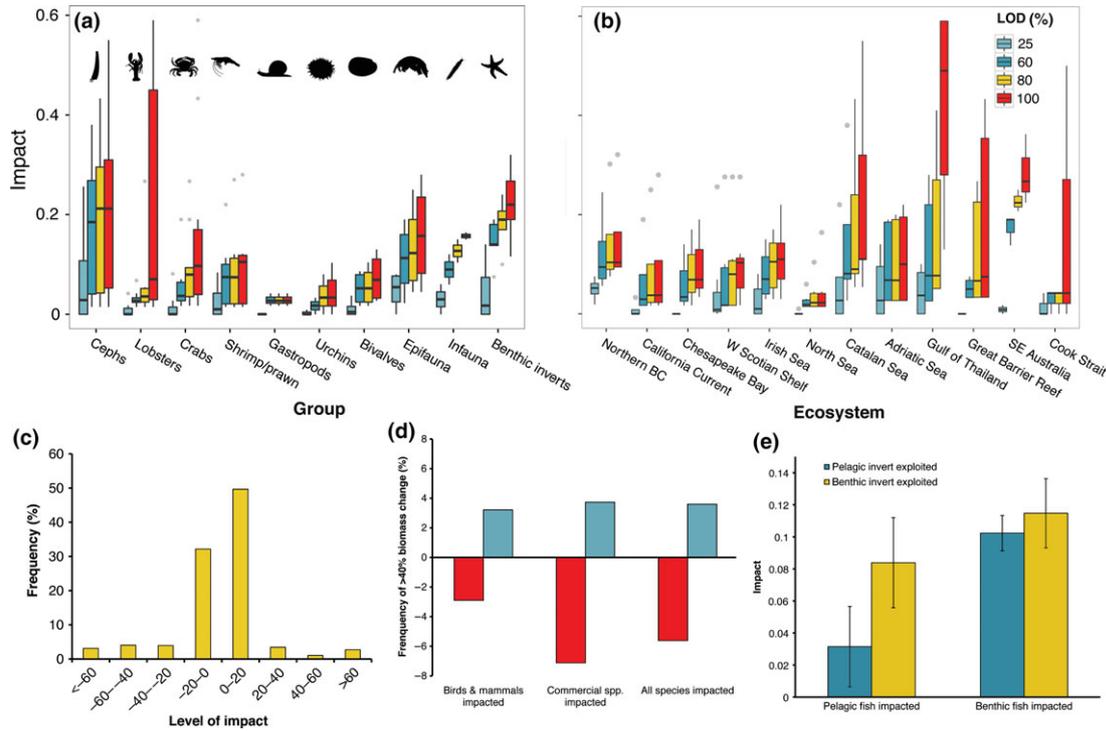
Invertebrate exploitation can also have strong impacts on commercial species, as demonstrated by the exploitation of shrimp in the Northern Adriatic, where 25% depletion resulted in a decline in mantis shrimp biomass by 96% (Fig. 3d, Table S3). Our results indicate a high coupling between benthic and pelagic ecosystem compartments, as the exploitation of either benthic or pelagic invertebrates resulted in similar average impacts on benthic fishes (such as cod), whereas pelagic fishes (such as tuna) were more strongly affected by the exploitation of benthic than pelagic invertebrates due to indirect trophic links (Fig. 3e).

### Trade-offs between catch and ecosystem effects

Both invertebrates and forage fish show similarly increasing ecosystem impacts with increasing exploitation; however, forage fish show slightly stronger impacts (Fig. 4). At 60% depletion, invertebrates impact on average 11% of other trophic groups by at least 40% biomass change, compared



**Figure 2** Ecosystem effects of individual invertebrate groups at varying invertebrate fisheries depletion levels in each of the 12 ecosystem models. Ecosystem effects are measured as the proportion of other trophic groups impacted by >40% biomass change.



**Figure 3** Ecosystem impacts of invertebrate fisheries. Shown is the average impact measured as the proportion of other trophic groups in the ecosystem impacted by >40% biomass change (a) by exploited invertebrate group across  $n = 12$  ecosystem models and (b) by ecosystem model at four levels of invertebrate depletion (LOD; %). (c) Frequency distribution of other species groups impacted by different levels of biomass change at 60% invertebrate depletion. (d) Proportion of birds and mammals, commercial species and all groups impacted by a 40% increase or decrease in biomass at 60% invertebrate depletion. (e) Degree of coupling between benthic and pelagic compartments in the ecosystem at 60% invertebrate depletion as represented by the average impact of benthic ( $n = 46$ ) and pelagic ( $n = 27$ ) invertebrate exploitation on benthic and pelagic fishes impacted by >40% biomass (mean  $\pm$  SE).

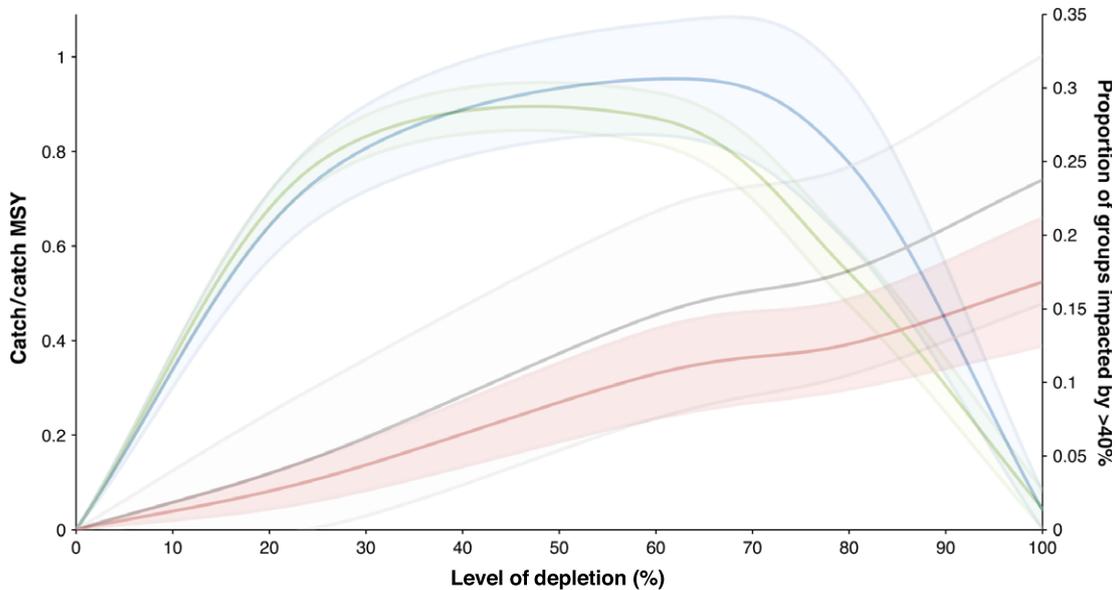
to 15% for forage fish (Fig. 4). Analysing target catches and ecosystem impacts across a range of exploitation levels, we found that average MSY for invertebrates is predicted to occur at lower levels of depletion (~45%) than that for forage fish (~65%; Fig. 4). Currently, actual levels of depletion of invertebrate groups represented in our models range from <math><1\%</math> to 90% depletion, with several target species, such as lobsters, cephalopods, prawns, abalone, urchins and shellfish fished to >45% depletion (Table S2).

### Ecological indicators

Our results indicate that invertebrates can play both top-down and bottom-up roles within ecosystems, with some groups scoring high keystone values (indicating a top-down role), while others have high SURF index values (Fig. 5). Cephalopods generally had high connectance, high TL, low relative abundance and a high keystone index 1, indicating a

strong predatory role (Fig. 5). In comparison, lobster and, to a lesser extent, crabs and shrimps/prawns were characterized by low relative abundance, medium connectance, medium TL and high omnivory (especially for lobster), suggesting they are also predatory, but with a more generalist role than cephalopods (Fig. 5). Benthic invertebrates (and to a lesser extent epifauna and infauna) were characterized by high relative abundance, low TL, high SURF index and medium connectance, indicating a strong bottom-up role within ecosystems (Fig. 5). Finally, gastropods, bivalves and urchins had smaller ecosystem effects, suggesting that their roles as prey and herbivore grazers or filter feeders are less strong, at least in the ecosystems considered here (Fig. 5).

Overall, relative abundance, connectance, keystone index 1 and the SURF index were good predictors of ecosystem impacts, whereby trophic groups with higher values had a greater rank of largest effect (Fig. 6). However, some invertebrates showed large ecosystem impacts at low



**Figure 4** Comparison of the average ecosystem impact of invertebrate exploitation (dark red line;  $n = 73$ ) and other low trophic level (LTL) exploitation (dark grey line;  $n = 39$ , data from Smith *et al.* 2011) relative to the invertebrate catch (dark green line) and other LTL catch (dark blue line) as a function of maximum sustainable yield (MSY). Lighter lines and shaded areas indicate confidence intervals.

connectance (e.g. bivalves in the western Scotian Shelf) or low relative abundance (e.g. sergestid shrimp in the Gulf of Thailand), while other trophic groups with intermediate keystone and SURF index values showed large ecosystem impacts (e.g. nephrops in the Irish Sea and abalone in New Zealand, respectively). Other indicators such as trophic level (TL), keystone index 2 and omnivory index explained less variation in observed ecosystem impact (Table S2).

#### Ecosystem characteristics

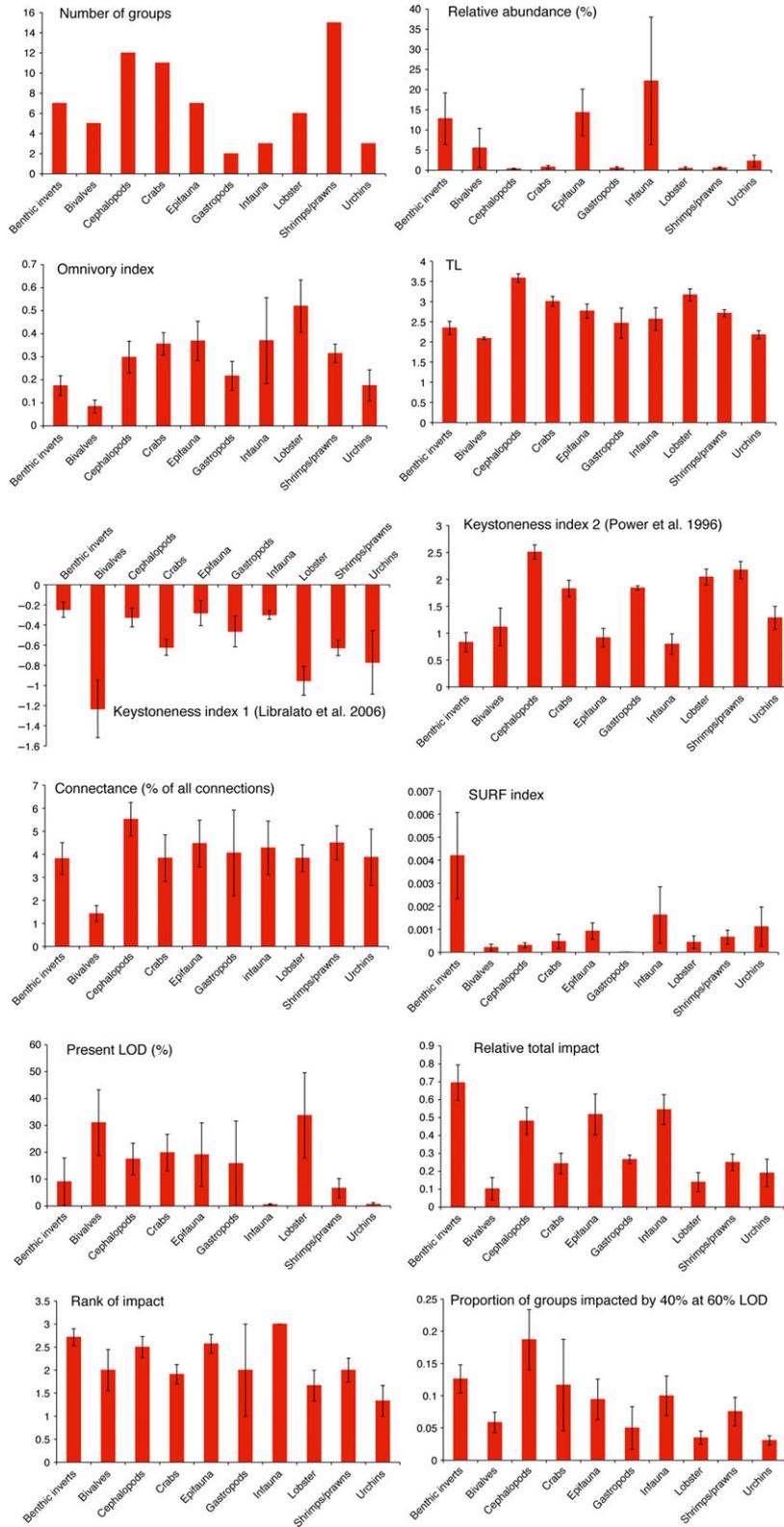
Exploring underlying ecosystem model characteristics as a possible explanation for differences in average ecosystem impacts, we found that total ecosystem biomass per unit area and ecosystem connectance were negatively correlated with ecosystem impact, with each property explaining 13% of observed variation (Fig. 6e,f). Other ecosystem characteristics such as the number of trophic groups, model area and predatory biomass (TL >4) did not explain much variation (<5%) in ecosystem impact. We did not find strong relationships between average ecosystem impact and the associated large marine ecosystem (LME) properties: net primary production, invertebrate catch per unit area, species richness, the number of

fisheries, years fished, mean catch per year fished, sea surface temperature, and LME area.

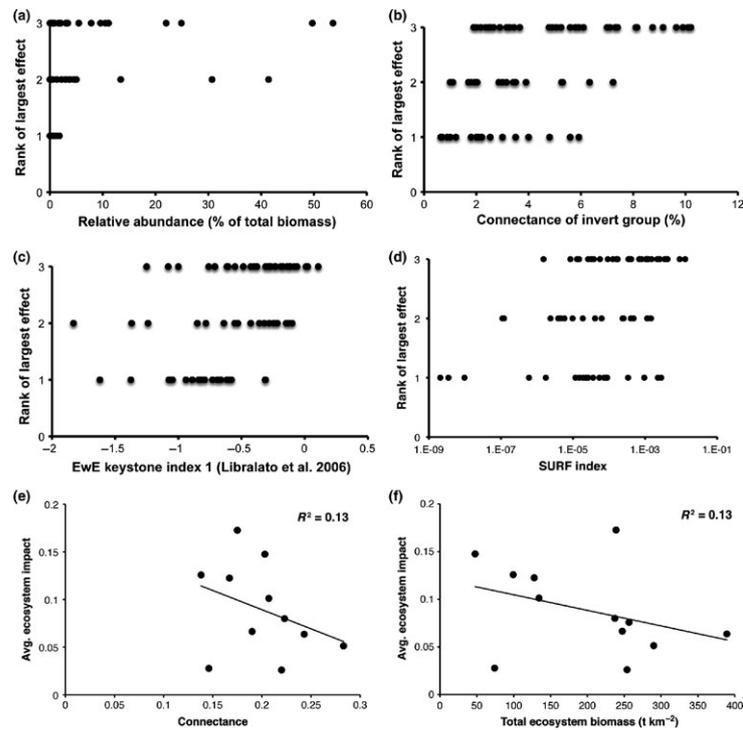
#### Discussion

Our results demonstrate that invertebrates play important roles in marine ecosystems and that their exploitation can have similarly strong ecosystem impacts as that of forage fish (Smith *et al.* 2011). On average, at the same level of depletion (60%), the exploitation of invertebrates affects 11% and that of forage fish 15% of other trophic groups by at least 40% biomass change. Yet, the magnitude of ecosystem effects strongly varied among different invertebrate groups. Overall, relative abundance and connectance of exploited invertebrate groups were good predictors of ecosystem impacts, also observed for forage fish (Smith *et al.* 2011). However, some invertebrates showed large ecosystem impacts at low connectance or low relative abundance, a key difference to forage fish, where this was only observed at higher values (Smith *et al.* 2011).

We found that both cephalopods and lobster can play strong top-down roles, although lobster are more omnivorous and have lower trophic levels than cephalopods. As important predators in both pelagic (e.g. squid; Hunsicker *et al.* 2010; Coll *et al.*



**Figure 5** Descriptors, indicators and ecosystem impacts by common invertebrate group indicated as average  $\pm$  SE. The title of each panel provides the description of each  $y$ -axis. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]



**Figure 6** Relationship between different ecosystem indicators: (a) relative abundance, (b) connectance, (c) keystone index 1 and (d) SURF index and the rank of ecosystem effects of various invertebrate exploitations. Rank of 1 = no change greater than 20% in any other trophic group; 2 = no change greater than 60% in any other trophic group; 3 = change greater than 60% in at least one other trophic group. Ecosystem effect is represented as the average ecosystem impact at 60% invertebrate depletion for each ecosystem model ( $n = 12$ ). Relationships between average ecosystem impact and (e) ecosystem connectance, (f) total ecosystem biomass ( $t\ km^{-2}$ ).

2013) and benthic systems (e.g. lobster; Eddy *et al.* 2014), some invertebrates have organizing or keystone roles, through direct and indirect trophic relationships. The removal of these species through fishing can lead to domino effects through marine ecosystems, known as trophic cascades. In comparison, benthic invertebrates, as well as epifauna and infauna, play strong bottom-up roles in marine food webs, more similar to those observed for forage fish (Smith *et al.* 2011; Pikitch *et al.* 2014). Although not directly targeted by fisheries, these invertebrate groups can be affected by bottom trawling and seafloor disturbance (Collie *et al.* 2000a,b; Kaiser *et al.* 2006), with strong impacts on other trophic groups including pelagic fishes. The only groups that showed relatively weak ecosystem effects in our study were echinoderms, gastropods and bivalves, at least when considering only trophic relationships. Although not examined in this study, urchins and bivalves are known to also play important non-trophic relationships, such as transforming habitats and providing habitat,

refugia and improved water quality for other species (Day and Branch 2002; Anderson *et al.* 2011a; Ling *et al.* 2015). These more varied ecological roles played by invertebrates than forage fish need to be considered in the management of fisheries and marine ecosystems.

For fisheries management and ecosystem conservation, it is important to understand the trade-offs between target species catches, their biomass depletion and resulting ecosystem effects (Worm *et al.* 2009; Smith *et al.* 2011). Our finding that average MSY for invertebrates is predicted to occur at lower levels of depletion than that for forage fish is likely due to different life history characteristics (Perry *et al.* 1999). This highlights the potential need for more restrictive management targets. Reducing target exploitation levels to below MSY levels would secure high target catches while significantly reducing the corresponding ecosystem effects. A reduction in forage fish exploitation rate by more than half (from 60% to 25% depletion) has been suggested to minimize

negative ecosystem consequences while maintaining 80% of catch (Smith *et al.* 2011). Our results indicate that a similar reduction in invertebrate exploitation to 25% depletion would result in an even better win-win situation, providing 90% of MSY catches.

The observed differences in the magnitude of impacts across ecosystems that we observed could be the result of ecosystem characteristics or model structure (Heymans *et al.* 2014; Collie *et al.* 2016). For ecosystem characteristics, we could not find any good relationships between the average ecosystem impact and different abiotic or biotic characteristics of the associated LME. However, more highly connected ecosystems and those with higher biomass showed lower ecosystem impacts, indicating that these were better buffered against the effects of exploitation (Fig. 6e,f). Unfortunately, we were unable to integrate other regional ecosystem models, such as Atlantis (Fulton *et al.* 2011) and OSMOSE (Shin and Cury 2004), into our study due to a lack of replicate models with sufficient invertebrate resolution. A similar study on the ecosystem impacts of forage fisheries, however, found their results to be robust to model structure (Smith *et al.* 2011), and we used two models also involved in their comparison (California Current EwE, SE Australia EwE). As more Atlantis, OSMOSE or other ecosystem models become available, it will be possible to also compare our results for invertebrate fisheries. However, more ecosystem models with better resolution for invertebrates are required instead of using bulk groups, as well as broader geographical coverage, particularly important for Africa and South America, where we did not have any models. We have selected those available models that were sufficiently resolved for some invertebrate groups and their fisheries, and parameterized with local data (Supporting Information). Yet, there is an urgent need for better knowledge about invertebrate abundance, ecology and fisheries through stock assessments and research surveys that can be used to complement ecosystem studies (Perry *et al.* 1999; Anderson *et al.* 2008, 2011a,b; Hunsicker *et al.* 2010). Additionally, incorporating non-feeding roles of invertebrates, such as water filtration, habitat provision and habitat transformation into ecosystem studies, will provide a broader understanding of the ecological roles of marine invertebrates and the ecosystem effects of their exploitation.

Importantly, there is great disparity between the lack of assessment and management of invertebrates compared to forage or other fishes (Ricard *et al.* 2012). Many invertebrates are not assessed for biomass reference points, although some use catch per unit effort (CPUE) as an input for harvest control rules (Anderson *et al.* 2008, 2011a, b). For example, in the United States, only approximately 42% of the 89 federally managed invertebrate stocks are assessed, compared to 79% of the 388 federally managed finfish stocks (NMFS 2015). Clearly, greater attention is needed for invertebrates within fisheries management agencies. Yet, there are challenges in assessing invertebrate populations due to difficulty in developing age and growth data (Punt *et al.* 2013) and serial depletion across space (Berkes *et al.* 2006; Anderson *et al.* 2011a,b), which violates the assumption of most assessment models of spatial homogeneity in fishing mortality rates. Interestingly, our results suggest that on average, MSY targets for invertebrates occur at lower levels of depletion than forage fish. Although some invertebrate groups have high production rates in certain ecosystems, resulting in MSY at higher levels of depletion, for others, MSY occurs at much lower depletion levels, requiring more restrictive management targets. Thus, fixed targets as often developed for finfish (e.g. Australia uses 60% depletion; AFMA 2014) may not be applicable. Finally, many invertebrates do not follow traditional fisheries science models developed for finfish (Hilborn and Walters 1992), whereby only highly connected or highly abundant species have high ecosystem impacts, as observed for forage fish (Smith *et al.* 2011). Accordingly, fisheries models and management targets need to take into account that invertebrate groups have a wider variety of life history strategies relative to finfish (Perry *et al.* 1999).

We show that many species of conservation and commercial interest can be strongly affected by invertebrate exploitation, such as marine turtles, dolphins, seals and sea lions, diving ducks, and mantis shrimp. Some of these species showed very strong declines (60–99%) at low-to-moderate levels of invertebrate depletion (25–60%) that would be considered normal exploitation levels in fisheries management plans (AFMA 2014, MPI 2014, NMFS 2015). Therefore, the conservation and management of these groups should consider the impacts of exploiting the prey of species of

conservation and commercial interest, both invertebrate and forage fish (Hunsicker *et al.* 2010; Smith *et al.* 2011; Pikitch *et al.* 2014). Overall, the majority of other trophic groups (85%) were only affected by a <20% biomass change, whereas only 5% experienced biomass changes of >60%, similar as in Smith *et al.* (2011). Thereby, about half the groups showed a biomass decline, while the other half increased. Thus, the ecosystem effects can be positive or negative for different groups, but both change the structure and function of the ecosystem (Pikitch *et al.* 2004; Smith *et al.* 2011). Consequently, the ecosystem effects of invertebrate fisheries need to be incorporated into conservation and management plans. Moreover, the diverse ecological roles of invertebrates need to be considered in EBFM that aims at sustaining ecosystem structure, function and services. The strong contribution of invertebrates to benthic–pelagic coupling provides further rationale to manage ecosystems as a whole, rather than by their individual parts (Pikitch *et al.* 2004), as the exploitation of one compartment is not isolated from the other.

Importantly, reducing exploitation rates could come with both ecological and economic benefits; we highlight that on average, 90% of invertebrate catch can be achieved at 25% depletion, requiring less fishing effort and thereby raising profits, while strongly reducing the impacts on other trophic groups in the ecosystem. As invertebrate fisheries continue to develop and emerge around the world, their ecological consequences along with societal and economic trade-offs need urgent attention to achieve sustainable long-term EBFM of these renewable resources.

### Acknowledgements

We thank Boris Worm, Daniel Boyce, Tony Smith, Ivonne Ortiz and two anonymous reviewers for valuable comments that greatly improved the manuscript. We acknowledge the Sea Around Us Project for providing catch data, obtained from seararoundus.org. We acknowledge Richard Methot and Stacey Miller from NOAA, who provided U.S. fisheries stock information from the SIS database. Key financial support for this project was provided by the Lenfest Ocean Program with a grant to HKL. MC was partially funded by the European Commission through the Marie Curie Career Integration Grant Fellowships – PCIG10-GA-2011-303534 – to the BIOWEB project and by the

Spanish National Program Ramon y Cajal. VC acknowledges support from NSERC, Canada. SM gratefully acknowledges the support provided by Defra project M1228 ‘Fizzyfish’.

### References

- Anderson, S.C., Lotze, H.K. and Shackell, N.L. (2008) Evaluating the knowledge base for expanding low-trophic-level fisheries in Atlantic Canada. *Canadian Journal of Fisheries and Aquatic Science* **65**, 2553–2571.
- Anderson, S.C., Flemming, J.M., Watson, R. *et al.* (2011a) Rapid global expansion of invertebrate fisheries: trends, drivers, and ecosystem effects. *PLoS One* **6**, e14735.
- Anderson, S.C., Flemming, J.M., Watson, R. *et al.* (2011b) Serial exploitation of global sea cucumber fisheries. *Fish and Fisheries* **12**, 317–339.
- Australia Fisheries Management Authority (AFMA) (2014) *Harvest Strategy Framework for the Southern and Eastern Scalefish and Shark Fishery*. Australia Fisheries Management Authority (AFMA), Canberra, Australia. 22 pp.
- Berkes, F., Hughes, T.P., Steneck, R.S. *et al.* (2006) Globalization, roving bandits, and marine resources. *Science* **311**, 1557–1558.
- Christensen, V. and Walters, C.J. (2004) Ecopath with Ecosim: methods, capabilities, and limitations. *Ecological Modelling* **172**, 109–139.
- Coll, M., Navarro, J., Olson, R.J. *et al.* (2013) Assessing the trophic position and ecological role of squids in marine ecosystems by means of food-web models. *Deep Sea Research II* **95**, 21–36.
- Collie, J.S., Hall, S.J., Kaiser, M.J. *et al.* (2000a) A quantitative analysis of fishing impacts on shelf-sea benthos. *Journal of Animal Ecology* **69**, 785–798.
- Collie, J.S., Escanero, G.A. and Valentine, P.C. (2000b) Photographic evaluation of the impacts of bottom fishing on benthic epifauna. *ICES Journal of Marine Science* **57**, 987–1001.
- Collie, J.S., Botsford, L.W., Hastings, A. *et al.* (2016) Ecosystem models for fisheries management: finding the sweet spot. *Fish and Fisheries* **17**, 101–125.
- Costello, C., Ovando, D., Hilborn, R. *et al.* (2012) Status and solutions for the world’s unassessed fisheries. *Science* **338**, 517–520.
- Day, E. and Branch, G.M. (2002) Effects of sea urchins (*Parechinus angulosus*) on recruits and juveniles of abalone (*Haliotis midae*). *Ecological Monographs* **72**, 133–149.
- Department of Fisheries and Oceans (DFO) (2013) Provincial and Territorial Statistics on Canada’s Fish and Seafood Exports in 2012. Available at: <http://www.dfo-mpo.gc.ca/media/back-fiche/2013/hq-ac03a-eng.htm>.
- Eddy, T.D., Pitcher, T.J., MacDiarmid, A.B. *et al.* (2014) Lobsters as keystone: only in unfished ecosystems? *Ecological Modelling* **275**, 48–72.
- Eddy, T.D., Coll, M., Fulton, E.A. *et al.* (2015) Trade-offs between invertebrate fisheries catches and ecosystem

- impacts in coastal New Zealand. *ICES Journal of Marine Sciences* **72**, 1380–1388.
- Food and Agriculture Organization of the United Nations (FAO) (2011) Review of the state of world marine fishery resources. FAO Fisheries and Aquaculture Technical Paper No. 569. Rome, FAO. 334 pp.
- Fulton, E.A., Link, J.S., Kaplan, I.C. et al. (2011) Lessons in modelling and management of marine ecosystems: the Atlantis experience. *Fish and Fisheries* **12**, 171–188.
- Heymans, J.J., Coll, M., Libralato, S. et al. (2014) Global patterns in ecological indicators of marine food webs: a modelling approach. *PLoS One* **9**, e95845.
- Hilborn, R. and Walters, C. (1992) *Quantitative Fisheries Stock Assessment: Choice, Dynamics and Uncertainty*. Kluwer Academic Publishers, Boston, p. 570.
- Hunsicker, M.E., Essington, T.E., Watson, R. et al. (2010) The contribution of cephalopods to global marine fisheries: can we have our squid and eat them too? *Fish and Fisheries* **11**, 421–438.
- Kaiser, M.J., Clarke, K.R., Hinz, H. et al. (2006) Global analysis of response and recovery of benthic biota to fishing. *Marine Ecology Progress Series* **311**, 1–14.
- Libralato, S., Christensen, V. and Pauly, D. (2006) A method for identifying keystone species in food web models. *Ecological Modelling* **195**, 153–171.
- Ling, S.D., Scheibling, R.E., Rassweiler, A. et al. (2015) Global regime shift dynamics of catastrophic sea urchin overgrazing. *Philosophical Transactions of the Royal Society B* **370**, 20130269.
- Ministry for Primary Industries (MPI) (2014) Fisheries and Aquaculture Production and Trade Quarterly Report. Available at: [rdm@fish.govt.nz](mailto:rdm@fish.govt.nz).
- National Marine Fisheries Service (NMFS), Office of Science and Technology (2015), Species Information System. [Dataset]. Available from the Species Information System. Available at: <https://www.st.nmfs.noaa.gov/stock-assessment/index>.
- Perry, R.I., Walters, C.J. and Boutillier, J.A. (1999) Framework for providing scientific advice for the management of new and developing invertebrate fisheries. *Reviews in Fish Biology and Fisheries* **9**, 125–150.
- Pikitch, E.K., Santora, C., Babcock, E.A. et al. (2004) Ecosystem-based fishery management. *Science* **305**, 346–347.
- Pikitch, E.K., Rountos, K.J., Essington, T.E. et al. (2014) The global contribution of forage fish to marine fisheries and ecosystems. *Fish and Fisheries* **15**, 43–64.
- Plagányi, É.E. and Essington, T.E. (2014) When the SURFs up, forage fish are key. *Fisheries Research* **159**, 68–74.
- Power, M.E., Tilman, D., Estes, J.A. et al. (1996) Challenges in the quest for keystones. *BioScience* **46**, 609–620.
- Punt, A.E., Huang, T. and Maunder, M.N. (2013) Review of integrated size-structured models for stock assessment of hard-to-age crustacean and mollusc species. *ICES Journal of Marine Science* **70**, 16–33.
- Ricard, D., Minto, C., Jensen, O.P. et al. (2012) Examining the knowledge base and status of commercially exploited marine species with the RAM Legacy Stock Assessment Database. *Fish and Fisheries* **13**, 380–398.
- Shin, Y.J. and Cury, P. (2004) Using an individual-based model of fish assemblages to study the response of size spectra to changes in fishing. *Canadian Journal of Fisheries and Aquatic Sciences* **61**, 414–431.
- Smith, A.D.M., Brown, C.J., Bulman, C.M. et al. (2011) Impacts of fishing low-trophic level species on marine ecosystems. *Science* **333**, 1147–1150.
- Surma, S. and Pitcher, T.J. (2015) Predicting the effects of whale population recovery on Northeast Pacific food webs and fisheries: an ecosystem modelling approach. *Fisheries Oceanography* **24**, 291–305.
- Swartz, W., Sumaila, R. and Watson, R. (2013) Global ex-vessel fish price database revisited: a new approach for estimating 'missing' prices. *Environmental Resource Economics* **56**, 467–480.
- Walters, C., Christensen, V. and Pauly, D. (1997) Structuring dynamic models of exploited ecosystems from trophic mass-balance assessments. *Reviews in Fish Biology and Fisheries* **7**, 139–172.
- Worm, B., Hilborn, R., Baum, J.K. et al. (2009) Rebuilding global fisheries. *Science* **325**, 578–585.

## Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Table S1.** Ecopath with Ecosim models used for this study with major model characteristics, and information on sensitivity analyses, input data, and model calibration.

**Table S2.** Ecosystem models with invertebrate trophic groups and indicators used for invertebrate fisheries simulations. Indicators describe benthic or pelagic association, trophic level (TL), relative abundance, connectance, omnivory, keystone-ness, relative total impacts, impact on other trophic groups, and rank of impact on other trophic groups.

**Table S3.** Trophic groups whose biomass decreased by at least 40% during 25% and 60% invertebrate exploitation scenarios, relative to the scenario where the invertebrate group was not exploited ( $B_i/B_0$ ).

**Figure S1.** Frequency distribution of impacts of invertebrate exploitation on the biomass of all groups, commercial groups, and birds and mammals at 25% (blue) and 60% (red) target invertebrate depletion.

**Figure S2.** Catch histories of finfish (blue) and invertebrates (red) used to parameterize ecosystem models.